

Premier SSAS Member Trustees Execution Only Declaration

Introduction

By choosing to make your own investment decisions, you will be giving up certain rights that you may have had by proceeding with advised investments. Therefore before making any investment, it is important that you understand the terms and conditions of the investment you are making. Please read the investment documentation thoroughly to ensure that you understand your investment; if in any doubt as to its suitability, tax implications etc. you should seek expert financial advice before you invest.

Please sign the declaration below if you wish to act on an execution only basis and purchase investments that are within the scope of the Premier SSAS Investment Mandate.

Member Trustees Declaration

I/We declare that in relation to the investment portfolio/dealing account held with

<i>(the Provider)</i>

1. I/We will be solely responsible for providing execution only instructions to the Provider;
2. I/We have the appropriate degree of experience and knowledge in making such investment decisions and in assessing the pros and cons in conjunction with the inherent risks of the investment(s);
3. I/We **will not** instruct the Provider to invest in any of the prohibited investments as detailed on the Investment Mandate supplied to me by Options SSAS Ltd (“Options UK”);
4. I/We accept that an execution only basis will preclude me/us from some of the protections and assistance that would otherwise be available via the Financial Ombudsman Service or other such bodies;
5. I/We confirm that I am/we are familiar with different investment types and fully understand the risks involved with the investments I am/we are making/intend to make and the associated terms and basis. Typical risks could be, but are not limited to any of the following:

Equity/Capital: The risk that your capital at the end of an investment period is worth less than at the beginning.

Currency: A form of risk that arises from the change in price of one currency against another. Whenever investors or companies have assets or business operations across national borders, they face currency risk if their positions are not hedged.

Interest Rate: The risk that the return that is earned will vary depending upon movements in interest rates.

Liquidity: The risk that an asset cannot be sold at a given time to realise funds.

Counterparty: The risk to each party of a contract that the counterparty will not live up to its contractual obligations. Counterparty risk as a risk to both parties and should be considered when evaluating a contract. In most financial contracts, counterparty risk is also known as “default risk”.

Regulatory: The risk that a change in laws and regulations will materially impact an investment, business, sector or market. A change in laws or regulations made by the government or a regulatory body can increase the costs of operating a business, reduce the attractiveness of investment and/or change the competitive landscape.

Income: The risk that the income stream paid by a fund will decrease in response to a drop in interest rates. This risk is most prevalent in money market and other short term income fund strategies, rather than longer term strategies that lock in interest rates. This is an extension of the interest rate risk on an individual bond.

Member Trustees Declaration (continued)

Inflation: The risk arises from the decline in value of cash deposit due to inflation. It is measured in terms of purchasing power.

Shortfall: The amount by which a financial need, obligation or liability exceeds the amount of cash that is available. A shortfall can be temporary in nature, arising out of a unique set of circumstances or it can be persistent, in which case it may indicate poor financial management practices.

6. That neither Options UK nor the Professional Trustee have provided any advice or recommendation in relation to this matter other than to provide the Investment Mandate detailing their acceptable and prohibited investment types;
7. Finally, as an execution only investment, I/we will not hold Options UK or the associated Professional Trustee liable for any losses, damages, costs, expenses or tax charges whatsoever arising out of, or in connection with, this portfolio/account or its underlying investments.

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FOR MORE INFORMATION PLEASE CONTACT

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