

Website Disclaimer

CONFIDENTIAL NOTICE – THE FOLLOWING NOTICE IS TO BE READ IN CONJUNCTION WITH THE REPORT ON THE MASTER TRUST CONTROLS OF THE OPTIONS WORKPLACE PENSION TRUST

Control Assurance Report for the 15 month period ended 31 March 2021

IMPORTANT NOTICE

Please read the following notice and confirm below in order to access the Internal Control Assurance Report.

A report on the controls of master trust services prepared by the Trustee Board of Options Workplace Pension Trust (“Trustee Board”), to which is appended an assurance report on master trust controls for the 15 month period 1 January 2020 to 31 March 2021 prepared by the Service Auditor solely for the Trustee Board (“the Service Auditors’ Report”) is available for viewing by visitors to this site who click the confirmation link below ‘I confirm I have read and agree to the above notice’.

The Service Auditors’ Report has been prepared on a confidential basis in accordance with terms of engagement agreed by the Trustee Board with the Service Auditors.

Following a request by the Trustee Board, the Service Auditors have exceptionally agreed to the posting of the Service Auditors’ Report on this website, on the basis set out in this Notice, to enable readers to verify that a report relating to the master trust controls of the Options Workplace Pension Trust and prepared in accordance with the International Standard on Assurance Engagements 3000 (Revised) ‘Assurance engagements other than audits or reviews of historical financial information’ issued by the International Auditing and Assurance Standards Board (“ISAE 3000 (Revised)”) Assurance reporting on master trusts AAF 05/20 (“AAF 05/20”) has been commissioned by the Trustee Board and issued by the Service Auditors, subject to the remaining paragraphs of this Notice, to which readers’ attention is drawn.

The Service Auditors’ Report should not be regarded as suitable to be used or relied on by any reader wishing to acquire any rights against the Service Auditors other than the Trustee Board (as a body) for any purpose or in any context. In consenting to the posting of the Service Auditors’ Report on this website, the Service Auditors do not accept or assume any responsibility to any readers other than the Trustee Board (as a body) in respect of the Service Auditors’ work for the Trustee Board, the Service Auditors’ Report, or any opinions that the Service Auditors may have formed and, to the fullest extent permitted by law, the Service Auditors will accept no liability in respect of any such matters to any readers other than the Trustee Board.

Should any readers other than the Trustee Board choose to rely on the Service Auditors Report, they will do so at their own risk.

I confirm I have read and agree to the above notice



The Options Workplace Pension Trust Controls Report (Type 2)

In accordance with AAF 05/20 and ISAE 3000
15 months ended 31 March 2021

OPTIONS CORPORATE PENSIONS UK LTD
1st Floor, Lakeside House, Shirwell Crescent,
Furzton Lake, Milton Keynes, MK4 1GA

MAY 2022



Master Trust Report in accordance with AAF 05/20 and ISAE 3000

Introduction

The independent Trustee Board of the Options Workplace Pension Trust (OWPT) is pleased to present this report setting out the control environment and internal controls for the Scheme's Master Trust services for the operating period ending 31 March 2021.

The Options Workplace Pension Trust was established in October 2012 with the primary purpose of offering an Auto Enrolment solution to existing and future clients of Options Pensions UK. The 'scheme funder' is jointly provided by Options Corporate Pensions UK Limited (OCPUK) and STM Group Plc.

Administration of the Scheme is performed by Options Corporate Pensions UK Limited, who are based in Milton Keynes and specialise in providing pension services and products to the UK market.

The current OWPT Trustee Board consists of MK Corporate Trustees UK Limited (affiliated trustee), Barry Parr (independent trustee) and Anthony Filbin who is the appointed independent Chair of Trustees. The Trustee Board have a good knowledge of all aspects of pension administration, governance and trusteeship, and have many years of experience working within the Corporate Pensions Industry.

The Pensions Regulator (TPR) expects master trust schemes to follow high standards. The quality features set out in TPR's Code of Practice No.13 were incorporated in the AAF 02/07 (now known as AAF 05/20) framework, developed by TPR and the Institute of Chartered Accountants in England and Wales (ICAEW).

Options Workplace Pension Trust was authorised by TPR on 25 September 2019 and is on the list of approved master trusts. The OWPT Trustee Board has continued to work closely with the Regulator to ensure the Trust is understanding and meeting requirements under the supervisory regime, particularly with regard to our response managing the scheme during the COVID-19 pandemic.

Our Service Auditor, Assure UK, has independently assessed The Options Workplace Pension Trust's key controls and confirmed that they are designed to meet the AAF Master Trust quality control objectives set by The Pensions Regulator with the ICAEW.

Going forward, we will build upon our foundations and continue to strive to provide good member outcomes whilst keeping costs at a minimum.

anthony filbin

Anthony Filbin
Chair of Trustees of The Options Workplace Pension Trust
Date: 18/05/2022

Master Trust Report in accordance with AAF 05/20 and ISAE 3000

CONTENTS

Page

Introduction 2

1. Statement by the Trustees of The Options Workplace Pension Trust 4

2. Report by the Service Auditor 6

3. Business Overview and Control Environment 9

4. Summary of Control Objectives 14

Master Trust Report in accordance with AAF 05/20 and ISAE 3000

1. Statement by the Trustees of The Options Workplace Pension Trust

As Trustees of The Options Workplace Pension Trust (the Master Trust), we are responsible for the identification of control objectives relating to the governance of the Master Trust and for establishing governance control activities including oversight of systems and processes that provide reasonable assurance that the control objectives are achieved. Those control objectives are derived from standards of governance set out in the Pensions Regulator's DC Code of Practice and DC Guides.

In carrying out those responsibilities, we have regard not only to the interests of employers who have entrusted their employees' DC contributions to the Master Trust (or are considering doing so) and members of the Master Trust but also to the needs of the Pensions Regulator who may use this report to help determine whether certain governance procedures including oversight of systems and processes relevant to Master Trust supervisory requirements have been met and have been subject to independent scrutiny.

We have evaluated the effectiveness of The Options Workplace Pension Trust governance control activities including oversight of systems and processes having regard to ICAEW TECH 05/20 AAF and the control objectives set out therein.

We have had two exceptions during the period detailed in Section 5 Control Objectives and Control Activities.

We set out in this report a description of the relevant governance control activities including oversight of systems and processes established by the Trustees of the Options Workplace Pension Trust together with the related control objectives which operated during the period 1 January 2020 to 31 March 2021 and confirm that:

- a) The report describes fairly the governance control activities established by the Trustees that relate to the control objectives referred to above which were in place throughout the period from 1 January 2020 to 31 March 2021;
- b) The governance control activities described were suitably designed throughout the period from 1 January 2020 to 31 March 2021 such that there is reasonable assurance that the specified control objectives would be achieved if the described governance control activities were complied with satisfactorily; and
- c) The governance control activities described were operating with sufficient effectiveness to provide reasonable assurance that the related control objectives were achieved throughout the specified period.

Signed on behalf of the Trustees of The Options Workplace Pension Trust

anthony filbin

Anthony Filbin
Chair of Trustees of The Options Workplace Pension Trust
Date: 18/05/2022



Master Trust Report in accordance with AAF 05/20 and ISAE 3000

2. Report by the Service Auditor

Independent Service Auditor's assurance report on the governance control activities established by the Trustees of The Options Workplace Pension Trust (the Master Trust)

Use of report

Our report, subject to the permitted disclosures set out below, is made solely for the use of the Trustees of the Master Trust (the Trustees), and is solely for the purpose of reporting on the control activities of the Master Trust, in accordance with our terms of engagement letter dated 5 February 2021 and attached in the appendix. Our work has been undertaken so that we might report to the Trustees those matters that we have agreed to state to them in our report and for no other purpose.

We permit the disclosure of our report, in full only to verify to the recipient that a report by Service Auditor has been commissioned by the Trustees and issued in connection with the control activities of the Master Trust without assuming or accepting any responsibility or liability to the recipient on our part. To the fullest extent permitted by law, we do not and will not accept or assume responsibility to anyone other than the Trustees as a body for our work, for our report or for the opinions we have formed.

Scope

We have been engaged to report on the description of governance control activities including oversight of systems and processes established by the Trustees of The Options Workplace Master Trust throughout the period from 1 January 2020 to 31 March 2021 and on the suitability of the design and operating effectiveness of those governance control activities stated in the description.

Trustees' responsibilities

The Trustees' responsibilities and statement are set out in section 1 of the report. The control objectives stated in the description include those control objectives set out in TECH 05/20 AAF that are considered relevant by the Trustees.

Our responsibilities

Our responsibility is to form an independent opinion, based on the work carried out in relation to the governance control activities established by the Trustees of The Option Workplace Master Trust as described in section 5 of the report and report this to you. We conducted our engagement in accordance with International Standard on Assurance Engagements (ISAE) 3000 (Revised) and with TECH 05/20 AAF. ISAE 3000 (Revised) and TECH 05/20 AAF require, among other things, that we comply with ethical and other professional requirements.

We plan and perform our procedures to obtain reasonable assurance about whether, in all material respects, the description is fairly presented and the governance control activities were suitably designed and operating effectively. The criteria against which the governance control activities were evaluated are the control objectives developed for Master Trusts as set out within ICAEW TECH 05/20 AAF and identified by the Trustees as control objectives to be applied for the purpose of governance.

Our work involved performing procedures to obtain evidence about the presentation of the Trustees' description of the governance control activities including the oversight of systems and processes and the design and operating effectiveness of those governance control activities. Our procedures include assessing the risks that the description is not fairly presented, and that the governance controls activities were not suitably designed or operating effectively. Our procedures also include testing the operating effectiveness of those governance control activities that we consider necessary to obtain reasonable assurance that the control objective stated in the description were achieved. An assurance engagement of this type also includes evaluating the overall presentation of the description and suitability of the control objectives stated therein.

Master Trust Report in accordance with AAF 05/20 and ISAE 3000

Our independence and quality control

We have complied with the independence and other ethical requirements of the 'Code of Ethics for Professional Accountants' issued by the International Ethics Standards Board for Accountants which is founded on fundamental principles of integrity, objectivity, professional competence and due care, confidentiality and professional behaviour.

The firm applies International Standard on Quality Control 1 and accordingly maintains a comprehensive system of quality control, including documented policies and procedures regarding compliance with ethical requirements, professional standards and applicable legal and regulatory requirements.

Inherent limitations

The Trustees' description of governance control activities including oversight of systems and processes was prepared to meet the common needs of a broad range of users and may not, therefore, include every aspect of governance control activities that may be relevant to each participating employer or member of the Master Trust. Also, because of their nature, governance control activities may not prevent or detect and correct all errors or omissions in performing governance activities.

Our opinion is based on historical information. The projection of any evaluation of the fairness of the presentation of the description, or opinion about the suitability of the design or operating effectiveness of the governance control activities to future periods would be inappropriate.

Opinion

In our opinion, in all material respects:

- (i) The accompanying Master Trust report fairly presents the governance control activities established by the Trustees that relate to the control objectives referred to above which were in place throughout the period from 1 January 2020 to 31 March 2021; and
- (ii) The governance control activities established by the Trustees described in section 5 were suitably designed to provide reasonable, but not absolute, assurance that the specified control objectives would have been achieved if the described governance control activities operated effectively throughout the period from 1 January 2020 to 31 March 2021; and
- (iii) The governance control activities established by the Trustees that were tested were operating with sufficient effectiveness to provide reasonable, but not absolute, assurance that the related control objectives were achieved throughout the period from 1 January 2020 to 31 March 2021.

Assure UK

ASSURE UK
Chartered Accountants
London
Date: 18/05/2022





Master Trust Report in accordance with AAF 05/20 and ISAE 3000

3. Business Overview and Control Environment

History

The Options Workplace Pension Trust (OWPT) was established in October 2012 with the primary purpose of offering an Auto Enrolment solution to existing and future clients of Options Corporate Pensions UK Ltd.

The Scheme's membership as at the end of March 2021 consists of 3,915 participating employers, 98,213 active members and 87,995 deferred members. The scheme's assets under management (AUM) stand at £284m.

The investment strategy of the Trust consists of a default fund. This default fund is the Alliance Bernstein target dated funds that de-risks towards retirement based upon a member's date of birth. We have sections for key connections namely;

- the IndePension's section which utilises model portfolios from Shard Capital, with the Balanced fund used as the default fund; and
- the Centralus section which utilises the TAM Focused portfolios, with the Balanced portfolio used as the default fund.

There is currently a separately advised section of the OWPT utilising Quilter Cheviot model portfolios, with the balanced model being the default. This section is advised by Futura, a regulated financial advisory firm, for specific participating employers of the OWPT.

In 2021 we partnered with Wahed Invest to provide a Halal model of portfolios which are Sharia compliant. This has replaced our existing Islamic section.

All defaults which are not target date funds, have a conventional Lifestyling strategy overlay, which de-risks members the closer they get to retirement.

Objectives

- To offer a value-added proposition for both employers and members
- To offer diversity in the marketplace recognising one size does not fit all
- To grow the business effectively while always maintaining the quality of the client service being delivered
- To manage the risk of the business
- To ensure that the most effective IT and marketing solutions are adopted and that they are maintained in a secure environment
- To ensure that the OWPT is fully compliant with legislation and requirements of TPR
- To ensure that the OWPT maintains Type 2 MTAF accreditation
- To ensure that the OWPT continues to meet the objectives as set out in the business plan
- To build an effective retirement pathway for all
- To offer Self-select funds that add diversity and value for the specific needs of members

The Board of Trustees

The Options Workplace Pension Trust is a Master Trust and is governed by a Board of Trustees (Trustee Board). The Board is comprised of three trustees; MK Corporate Trustees UK Ltd (affiliated trustee), Anthony Filbin (independent trustee Chair) and Barry Parr (independent trustee). The Trustee Board has a broad range of knowledge on all pension matters which provides for independence, corporate governance and expertise.

The Trustee Board meets on a quarterly basis to enable robust governance standards to be maintained and to ensure member's best interests are at the heart of what we do.

There is also an Investment sub-committee that focuses on the investments offered within the OWPT. This also meets at least quarterly and is attended by the appointed Investment Advisers to the Trustee Board - Dean Wetton Advisory.

Master Trust Report in accordance with AAF 05/20 and ISAE 3000

Anthony Filbin, independent trustee

Anthony Filbin joined Legal & General in 1979 where he held a number of senior positions rising to Managing Director Workplace Savings.

In his various roles he was responsible for Legal & General's suite of retail pension and annuity products including the development and implementation of their award-winning auto-enrolment proposition.

Since leaving Legal & General in 2014, he has taken up a number of pension trustee board positions and non-executive director roles with Quai Administration Services, Capital Cranfield Trustees and Mattioli Woods.

He is a regular speaker at pension conferences and has held committee positions with the Institute of Actuaries and the Pensions Management Institute.

In 2013 he was named by Financial News as one of the Top 10 Most Influential People In Pensions.

Barry Parr, independent trustee

Barry Parr has been a trustee since 1993 and a professional trustee since 2012.

Barry has been an independent trustee and chair of the DC committee for the Pensions Trust (master trust) with AUM of £7bn as well as working on many other pension schemes throughout his career. He is a regular columnist in pensions journals including Professional Pensions. Barry has also previously judged the Professional Pensions Awards.

MK Corporate Trustees UK Limited

MK Corporate Trustees UK Ltd (MKCTUK) was established in December 2014 following a company restructure of Options Pensions UK LLP, replacing the previous trustees Options Pension Trustees UK Ltd. MKCTUK consists of three trustee directors who are employed within the Options Group. Delegation is provided to one of the directors to act on behalf of MKTUK on the Trustee Board for the Options Workplace Pension Trust.

Paul Webster (Master Trust Governance Manager)

Paul has over 28 years' experience within the pensions industry with a background in DB and DC administration and trustee support and has worked for both third party providers and in-house schemes. Paul is the nominated trustee to the Master Trust Board.

Christine Hallett (CEO - Options Pensions UK)

Christine has over 30 years' experience within the pensions industry including both Self-Investment Personal Pension Schemes (SIPP) and corporate pensions. She has been CEO of Options Pensions UK since its establishment in 2009.

Dave Hatch (Operations Director)

David has worked in the financial services arena since 2000 originally qualifying as a financial adviser. In 2007 David moved into the Self-Invested Pension market focusing on UK regulated schemes such as Small Self-Administered Schemes and Self-Invested Personal Pension Schemes. David has extensive knowledge of the Self-Invested Pension market and has had experience of working in the financial services industry for over 17 years in various management and project roles.

All professional fees in respect of services provided to the Trustee Board are paid by the Sponsor Options Corporate Pensions UK Ltd.

Master Trust Report in accordance with AAF 05/20 and ISAE 3000

Options Corporate Pensions UK Ltd (OCPUK) - The Sponsor

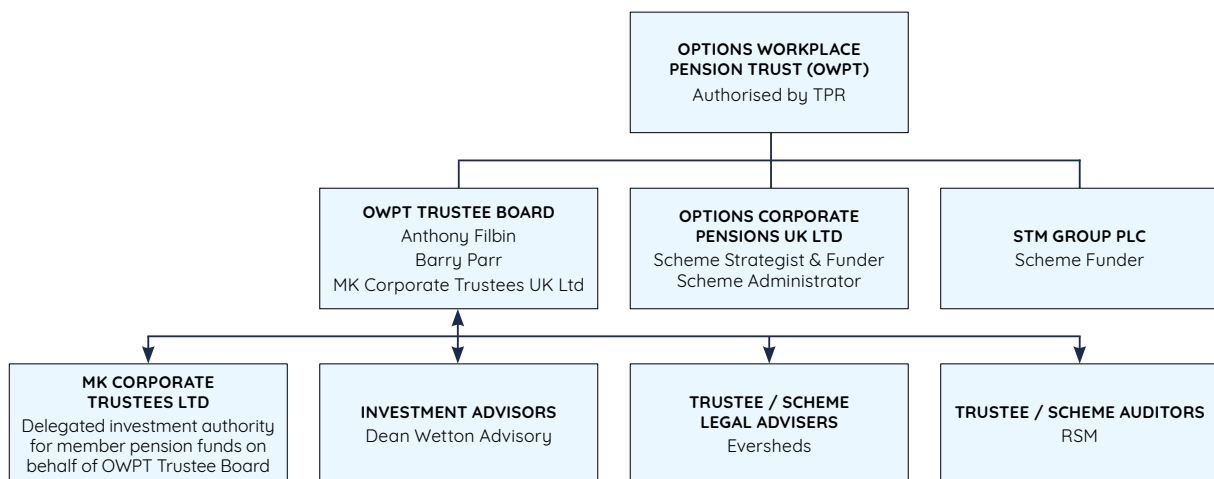
Options Corporate Pensions UK Ltd was established in December 2014.

In February 2019, the company was acquired by STM Group Plc, an international financial services company focused on providing international and UK pension and trustee services. STM Group Plc are co-founders of the OWPT and supports it when required with additional funding and investment. They provide the capital required in a blocked bank account to the order of the Trustees of the OWPT in the event of a wind-up being triggered.

Options Corporate Pensions UK Limited (Scheme Administrator) - OCPUK

Options Corporate Pensions UK Limited is the Scheme Administrator and are based in Milton Keynes. It provides administration services, including collecting and investing contributions and paying benefits as and when members leave or retire. It provides communication materials including member booklets and delivers an on-line portal for each participating member. OCPUK have a dedicated administration team dealing with the day to day administration relating to the Workplace Pension.

OCPUK Limited charge members a flat fee, together with an Annual Management Charge (AMC) dependent upon investment fund chosen. Default funds are charged in accordance with the combination charge structures allowed under the Auto Enrolment Charge Cap Regulations.



Investment Managers

The Alliance Bernstein target date funds provide the default funds for the Trust. These are target dated funds that manage the risk as members move closer towards retirement. AEGON Blackrock also provide a target date fund for members of the Amber Workplace Pension Section.

The Trust also provides for other sections with different default arrangements. These investment managers include Quilter Cheviot, TAM and Shard Capital. Quilter Cheviot has a conventional Lifestyling overlay strategy de-risking members as they approach retirement. Lifestyle overlays for TAM and Shard Capital are being developed.

A new Sharia default section is being implemented utilising Sharia portfolios with Wahed Investment, which will also use a lifestyle overlay.

The Investment Managers are remunerated for their services through charges (Annual Management Charge and Fund Management Charge) deducted at source as an adjustment to unit pricing, or from members' pension pots on a quarterly in arrears basis.

Master Trust Report in accordance with AAF 05/20 and ISAE 3000

Control Environment, Risk Management and Internal Control

The Board of Trustees understand the importance of protecting the interests of members and compliance with industry and statutory requirements.

Regular review of the Trustee Board's Risk Register aims to identify the need for any changes to procedures and controls. Options Corporate Pensions UK Limited have a variety of accounting and internal controls designed to prevent and detect fraud. These include restricted access to pension systems and databases; segregation of duties between pension administration and finance staff; investment and payments authorisation levels; monthly, independently reviewed bank reconciliations; monitoring of contribution receipts; reconciliation of pension system investment records with investment management holdings; data update and amendment controls and review of transfers out regarding potential pension liberation fraud.

The Trustees, Sponsor and Options Corporate Pensions UK Limited have regular liaison meetings with the Pensions Regulator to discuss the administrative and governance aspects of the Scheme.

Complementary Control Procedures of Customers

It is not feasible for the control objectives relating to pension services to be achieved solely by The Options Workplace Pension Trust. Each employer is required to evaluate its own internal controls to determine whether:

- member data and contributions are provided as instructed and on a complete, accurate and timely basis
- security controls to protect member data are in place
- records are maintained to demonstrate attempts to trace members who are identified as having an incorrect address
- contingency plans have been developed to ensure that information can be consistently communicated to Options Corporate Pensions UK Limited in the event of a system error or other disruption

Communications

- The Options Workplace Pension Trust has a documented Communications Plan to meet the needs of its members
- The Trustees' policy is that communications are accurate, clear, helpful and relevant to the customer. Communications are in plain English, avoid the use of jargon and standardised where possible
- The Trustees shall provide the scheme member with the information they need to help them plan for their retirement. Communications are tailored, where appropriate, to different groups such as deferred members and those approaching retirement
- An annual Communication Plan is agreed by the Trustees. The plan is reviewed annually by the Trustee Board

Options Corporate Pensions UK Ltd have invested heavily in a new administration system that will deliver long term benefits for yourselves and your employers and is consistent with the Government's desire to provide a "dashboard" so it is easier to keep track of and manage your pension savings.



4. Summary of Control Objectives

VALUE FOR MEMBERS

Assessing value for members

1. A documented value for members assessment is undertaken at least annually and is formally approved by the Trustee.

Management of costs and charges

2. The Trustee ensures disclosure of information to members of transaction costs and charges is complete and accurate and has been disclosed in accordance with regulatory guidelines.

INVESTMENT GOVERNANCE

3. A documented review of the suitability of the default arrangement(s) and range and risk profile of other investment options is undertaken and approved by the Trustee.
4. The Trustee undertakes a documented review of the Statement of Investment Principles. This review includes consideration of investment objectives and policies for the default arrangement(s) and all other investment options.
5. The Trustee ensures that the Statement of Investment Principles is made publicly available.
6. The performance of all investment options (including the default arrangement(s)) is reviewed and monitored against the investment objectives set out in the Statement of Investment Principles. This review is documented and approved by the Trustee.

THE TRUSTEE BOARD

7. New Trustee Directors are recruited and appointed in accordance with a documented procedure approved by the Trustee.
8. The fitness and propriety of Trustee Directors is assessed prior to appointment and reviewed annually thereafter in accordance with a documented policy. This review is documented and approved by the Trustee.
9. Collective skills and competencies of the Trustee as a whole are reviewed on an annual basis. This review is documented and approved by the Trustee.

10. Trustee levels of knowledge and understanding are managed and maintained in accordance with an annual training and development plan approved by the Trustee.

FINANCIAL SUSTAINABILITY AND COMPLIANCE

11. A documented review of financial sustainability (including access to resources to cover running costs and financial reserves in the event of a triggering event) is undertaken by the Trustee within required timescales.
12. A documented review of the Business Plan is undertaken by the Trustee within required timescales.
13. A documented Continuity Strategy is maintained and reviewed by the Trustee.
14. A documented procedure is maintained and approved by the Trustee for reporting Significant Events and Triggering Events to the Regulator within required timescales.

SCHEME MANAGEMENT SKILLS

15. An annual business schedule/plan is maintained and reviewed by the Trustee.
16. Conflicts of interest are subject to ongoing monitoring and are identified, recorded in a conflict register and managed in accordance with a defined policy which is regularly reviewed by the Trustee.
17. Documented due diligence is undertaken by the Trustee prior to the appointment of all service providers, including fitness and propriety. Selection criteria is maintained by the Trustee to support service provider selection and decision making.
18. Roles and responsibilities of all advisers and service providers are documented and the performance and quality of their service is subject to documented reviews by the Trustee against documented performance criteria.
19. The Trustee maintains a risk management framework to identify, evaluate, manage and monitor scheme risks.

Master Trust Report in accordance with AAF 05/20 and ISAE 3000

TRUSTEE OVERSIGHT OF IT SYSTEMS AND ADMINISTRATION PROCESSES

20. The Trustee monitors and reviews IT systems.
21. The capacity to take on new business is assessed, approved and regularly monitored by the Trustee.
22. Signed administration agreements are in place with service providers and include provisions for the rectification of maladministration. The agreements are approved by the Trustee.
23. The Trustee has arrangements in place for ensuring that core financial transactions (including decumulation related transactions) are processed promptly.
24. The Trustee has arrangements in place for ensuring that core financial transactions (including decumulation related transactions) are processed accurately and that payments are authorised and suitably controlled.
25. The Trustee ensures that member retirement options selected are processed and managed in accordance with documented procedures.
26. The Trustee ensures that transaction errors are identified and rectified in accordance with a documented procedure.
27. The Trustee ensures that late and inaccurate contributions are pursued and resolved in accordance with documented procedures. Late contributions are reported to the Trustee.
28. The Trustee ensures that contributions are invested and allocated in accordance with member instructions or the requirements of the default arrangement.

DATA QUALITY

29. The Trustee ensures member data is complete and accurate and is subject to regular data evaluation.

COMMUNICATION AND REPORTING

30. The Trustee maintains a documented member communications plan which is regularly reviewed.
31. Arrangements are in place for ensuring that the quality and accuracy of member communications are assessed by the Trustee.
32. The Trustee has established a process for reporting member feedback (including issues raised by members) and complaints to the Trustee, including resolution procedures. Member feedback and issues are logged and reviewed by the Trustee



SIGNATURE CERTIFICATE





REFERENCE NUMBER

69ACABB9-D2FE-4DB9-A561-A2488BB77F01

TRANSACTION DETAILS	DOCUMENT DETAILS
<p>Reference Number 69ACABB9-D2FE-4DB9-A561-A2488BB77F01</p> <p>Transaction Type Signature Request</p> <p>Sent At 05/18/2022 10:15 EDT</p> <p>Executed At 05/18/2022 13:17 EDT</p> <p>Identity Method email</p> <p>Distribution Method email</p> <p>Signed Checksum 0a989e63aa71bec768f0a0811db13ab2001de4ec63967236484c7a367433ff26</p> <p>Signer Sequencing Enabled</p> <p>Document Passcode Disabled</p>	<p>Document Name 20220518 Options Aaf 0520 Lor Report Web Pe 31 March 2021 For Signing</p> <p>Filename 20220518_options_aaf_0520_lor_report_web_pe_31_march_2021_for_signing.pdf</p> <p>Pages 63 pages</p> <p>Content Type application/pdf</p> <p>File Size 7.37 MB</p> <p>Original Checksum 1ace6d89ba4bf700f7d01a03d37b6fad7c0817277ca0574b005b809452f9f8e7</p>

SIGNERS

SIGNER	E-SIGNATURE	EVENTS
<p>Name Gareth Burton</p> <p>Email gareth.burton@assureuk.co.uk</p> <p>Signer Sequence 1</p> <p>Components 4</p>	<p>Status signed</p> <p>Multi-factor Digital Fingerprint Checksum b4143e651bdf0b6884402b7aaf13b39817bdf23d191d52bd03b155cb88e7cd38</p> <p>IP Address 88.97.51.129</p> <p>Device Chrome Mobile iOS via iOS</p> <p>Typed Signature </p> <p>Signature Reference ID 6D55B57A</p>	<p>Viewed At 05/18/2022 13:15 EDT</p> <p>Identity Authenticated At 05/18/2022 13:17 EDT</p> <p>Signed At 05/18/2022 13:17 EDT</p>
<p>Name Anthony Filbin</p> <p>Email filbinadvisory@btinternet.com</p> <p>Signer Sequence 0</p> <p>Components 11</p>	<p>Status signed</p> <p>Multi-factor Digital Fingerprint Checksum 7589ba5f0b0ecae56016d4e752abb3bab1e5928cab7a1570f790d977f61ec89</p> <p>IP Address 86.150.151.221</p> <p>Device Chrome via Windows</p> <p>Typed Signature </p> <p>Signature Reference ID 309A79DC</p>	<p>Viewed At 05/18/2022 10:50 EDT</p> <p>Identity Authenticated At 05/18/2022 10:52 EDT</p> <p>Signed At 05/18/2022 10:53 EDT</p>

AUDITS

TIMESTAMP	AUDIT
05/18/2022 10:15 EDT	Olivia Bailey (olivia.bailey@assureuk.co.uk) created document '20220518_options_aaf_0520_lor_report_web_pe_31_march_2021_for_signing.pdf' on Chrome via Windows from 99.80.0.86.
05/18/2022 10:15 EDT	Anthony Filbin (filbinadvisory@btinternet.com) was emailed a link to sign.
05/18/2022 10:31 EDT	Anthony Filbin (filbinadvisory@btinternet.com) viewed the document on Mobile Safari via iOS from 86.150.151.221.
05/18/2022 10:32 EDT	Anthony Filbin (filbinadvisory@btinternet.com) viewed the document on Mobile Safari via iOS from 86.150.151.221.
05/18/2022 10:33 EDT	Anthony Filbin (filbinadvisory@btinternet.com) viewed the document on Mobile Safari via iOS from 86.150.151.221.
05/18/2022 10:35 EDT	Anthony Filbin (filbinadvisory@btinternet.com) viewed the document on Mobile Safari via iOS from 86.150.151.221.
05/18/2022 10:50 EDT	Anthony Filbin (filbinadvisory@btinternet.com) viewed the document on Chrome via Windows from 86.150.151.221.
05/18/2022 10:52 EDT	Anthony Filbin (filbinadvisory@btinternet.com) authenticated via email on Chrome via Windows from 86.150.151.221.
05/18/2022 10:53 EDT	Anthony Filbin (filbinadvisory@btinternet.com) signed the document on Chrome via Windows from 86.150.151.221.
05/18/2022 10:53 EDT	Gareth Burton (gareth.burton@assureuk.co.uk) was emailed a link to sign.
05/18/2022 11:08 EDT	Gareth Burton (gareth.burton@assureuk.co.uk) viewed the document on Chrome via Mac from 88.97.51.129.
05/18/2022 11:10 EDT	Gareth Burton (gareth.burton@assureuk.co.uk) viewed the document on Chrome via Mac from 88.97.51.129.
05/18/2022 13:15 EDT	Gareth Burton (gareth.burton@assureuk.co.uk) viewed the document on Chrome Mobile iOS via iOS from 88.97.51.129.
05/18/2022 13:17 EDT	Gareth Burton (gareth.burton@assureuk.co.uk) authenticated via email on Chrome Mobile iOS via iOS from 88.97.51.129.
05/18/2022 13:17 EDT	Gareth Burton (gareth.burton@assureuk.co.uk) signed the document on Chrome Mobile iOS via iOS from 88.97.51.129.